

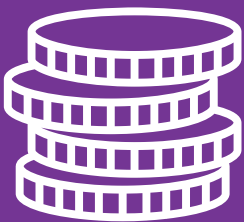
MiFID 2

ADVANCED TRAINING MODULES



HOUSE OF TRAINING

BANQUES
& FINANCE



MiFID 2 Advanced Training Modules

The House of Training is pleased to present its new MiFID II training program.

This program, which consists of 10 modules, will allow you to become a MiFID II expert, as each module focuses on one key aspect of the MiFID II/MiFIR regulation in great detail. With the help of practical exercises and examples offered by practitioners from the ABBL MiFID II working groups, you will learn everything you need to know about the new regulations. Each module targets a specialist audience and will enable its attendants to learn more about the various applications of MiFID II.

TRAINING STRUCTURE

Each of the MiFID modules offers you between 4 and 8 hours of training. You can combine several modules to become a recognised MiFID II specialist or select the module which best suits your area of interest. The modules are split into three parts:

- New requirements
- Practical examples
- Q&A sessions

EXAMINATIONS

The knowledge acquired in these courses can be validated by means of an optional written examination, consisting of true/false and multiple-choice questions for which you have to register.

TIME SCHEDULE

The first series of modules starts in May 2017 and finishes in the autumn, with one module being organised every two weeks. Additional sessions for Private Bankers and Retail Bankers are held. These sessions will be determined in the second half of 2017 and in early 2018. Additional information on these sessions will be available at a later date.

Depending on demand, modules can be run several times, both in French and in English. Please get in touch for more information. With the help of your contact at the House of Training you can also organise in-house versions of this MiFID II training.

TARGET AUDIENCE

The program is specifically designed for PSF, heads of organisation departments, MiFID II Compliance experts, lawyers and consultants, private bankers and retail bankers in charge of investments.

MiFID II/MiFIR Overview

Target audience: anyone who wants to get an overview of MiFID II, heads of department, relationship managers, PSF

Program: In this module, you will gain a broad understanding of MiFID II, with the aim of giving you a wide overview of the new duties, responsibilities and opportunities presented by MiFID II.

Speaker(s): ABBL

L: EN - 4 h - 255 € (+3% VAT) - ☀

MiFID/MiFIR New Trading Environment

Target audience: People in charge of dealing and trading, heads of trading/ dealing departments, senior staff

Program: This module will focus on the new trading environment created by MiFID II: the new platforms, new market infrastructures, which products are subject to the MiFID rules and how to trade in this new environment. The module will envisage the different MiFID effects and strategies applicable to a wide range of instruments and organisations, including the systematic internalisation of orders.

Speaker: Hubert Grignon Dumoulin

L: EN - 4 h - 255 € (+3% VAT) - ☀

MiFID II/MiFIR Compliance & Governance

Target audience: Compliance officers, anyone responsible for the Compliance function

Program: This module will highlight the new MiFID II requirements for the Compliance function. What are the new challenges, how to get the Compliance message across to the organisation and how is a MiFID II Compliance check performed?

Speaker(s): KPMG

L: EN - 4 h - 255 € (+3% VAT) - ☀️

MiFID II/MiFIR for Private Bankers

Target audience: Private bankers, heads of Private Banking client desks

Program: The module will explain the new procedures and how to handle clients under MiFID II. It will evidence updates between MiFID I and II. The session focusses on the new obligations of information and how to deliver the MiFID II advice. Examples will present the new expected behaviour and likely reactions from clients to the new environment.

L: EN - 4 h - 255 € (+3% VAT) - ☀️

Speaker(s): TBC

MiFID II Target Market/Product Governance/PRIIPS and MiFID II

Target audience: Product developers, heads of Marketing, heads of Private Banking

Program: This module will present the new obligations in terms of product governance: who does it apply to, what are the short, medium and long-term consequences of MiFID II on product availability? The module will also cover the challenges created by the new legal framework and the potential miss-selling risks.

Speaker: Denis Costermans, EY / Franck Roessig, Telindus

L: EN - 4 h - 255 € (+3% VAT) - ☀️

MiFID II Advisory Status – Dependent, Independent or Portfolio Management – as well as New Inducement Regimes

Target audience: Private Banking, Family Offices, PSF

Program: This module is at the core of the new MiFID II framework. It will highlight the new advisory proposals rules and explain how they differ from MiFID I advisory proposals, helping you to develop appropriate strategies. The session will focus mainly on the new obligations and duties of information under the different models. It will also look at how robot advice could influence the provision of MiFID II investment services.

Speaker: Tim Geyens, PICTET/ABBL

L: EN - 4 h - 255 € (+3% VAT) - ☀️

MiFID II for Retail Bankers

Target audience: Retail bankers and junior Private bankers, support staff of Private Banking

Program: The module will focus on the new obligations created in the context of advising the mass affluent and mass market: what new behaviours are required and what does MiFID II expect from a retail banker in the area of investment activities? The module will present models, examples of correct and incorrect practices and generally help manage expectations.

Speaker(s): EY

L: EN - 4 h - 255 € (+3% VAT) - ☀️

MiFID II Crossborder distribution

Target audience: Heads of Private and Personal Banking

Program: This module will present the new guidelines for the provision of services from one EU member state to another member state under the different models offered by MiFID II. Additionally, this module will focus on the interactions with non-EU countries, which are dealing with the EU, and it will discuss how to offer services in those non-EU or future non-EU countries.

Speaker: Clémence Igot, Allen & Overy

L: EN - 4 h - 255 € (+3% VAT) - ☀️

MiFID II Handling of Assets, Reuse, Collateral Management and Custody

Target audience: Custody Network Managers, PSF, non-bank MiFID companies

Program: MiFID II has introduced additional, detailed requirements on how to handle the custody network, what type of lending agreement is authorised and to whom. These questions are going to be the focal point of this module.

Speaker(s): TBC

L: EN - 4 h - 255 € (+3% VAT) - ☀️

MiFID II/MiFIR Reporting, Market and Transactions Reporting

Target audience: Custody Network Managers, PSF, non-bank MiFID companies

Program: This last module will focus on how to report to the relevant authorities and to the market (to consolidated tapes providers) under MiFID II/MiFIR: what are the new requirements, who are the providers and how is the data organised?

Speaker(s): Deloitte

L: EN - 4 h - 255 € (+3% VAT) - ☀️



HOUSE OF TRAINING

More information

More information about the course content and objectives, the target audience, the exact schedule, etc. can be found on our website www.houseoftraining.lu

Registrations

Registrations for the training modules and/or exams are to be made online via our website. They have to be made at least 5 days before the beginning of the training course/exam.

Registration fees

The fees indicated in this flyer represent the basic fees. These can vary, depending on several options chosen by the participant (training material, exam fees, etc.). All prices are indicated without VAT (3%).

Exams

Exam sessions take place every Tuesday and every last Thursday of each month, except on school holidays.

Training location

Unless otherwise indicated in the registration confirmation, all courses take place at the:

Training Centre in the Chamber of Commerce
7, rue Alcide de Gasperi
L-1615 Luxembourg

Contact

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Terms and conditions as stated on our website www.houseoftraining.lu are applicable.

Formally established in 2015, the House of Training brings together, under a single roof, two training institutes renowned for their expertise and their vast offerings in the field of continuing vocational training in Luxembourg. These institutions are the « Luxembourg School for Commerce (LSC) », training institute of the Chamber of Commerce, and the « Institut de Formation Bancaire, Luxembourg » (IFBL), training institute of the Luxembourg Bankers' Association (ABBL). The Financial Technology Transfer Agency (ATTF) also has joined the House of Training on 1st January 2016, bringing with it a significant international dimension.